DOUGLAS COUNTY, NV This is a no fee document NO FEE

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DC/SHERIFF

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KAREN ELLISON, RECORDER

Assessor's Parcel Number: N/A Date: JUNE 19, 2018 **Recording Requested By:** Name: KERI SCHEETZ, DCSO Address: City/State/Zip:

Real Property Transfer Tax: \$ N/A

CONTRACT #2018.119

(Title of Document)

FILED # DUB, 119

CONTRACT FOR SERVICES OF INDEPENDENT CONTRACTOR 9 AM 9: 49

BETWEEN

DOUGLAS COUNTY

&

JOSEPH E. MCELLISTREM, PH.D. 329 WEST 5TH STREET CARSON CITY, NV 89703

WHEREAS, Douglas County (hereinafter County), a political subdivision of the State of Nevada, from time to time requires the services of independent contractors; and

WHEREAS, it is deemed that the services of Dr. Joseph E. McEllistrem, Ph.D. (hereinafter Contractor) are both necessary and desirable and in the best interests of Douglas County; and

WHEREAS, Contractor represents that he is licensed to provide mental health services in the State of Nevada, qualified, equipped, ready, willing and able to perform and render the mental health services hereinafter described:

Now, therefore, in consideration of the agreements herein made, the parties mutually agree as follows:

- 1. EFFECTIVE DATE OF CONTRACT. This contract shall be in effect July 1, 2018 through June 30, 2020.
- 2. INDEPENDENT CONTRACTOR STATUS. The parties agree that Contractor, his associates and employees shall have the status of independent contractors, and that this contract, by explicit agreement of the parties, incorporates and applies the provisions of NRS 333.700, as necessarily adapted to the parties, including that Contractor is not a Douglas County employee and that

There shall be no:

- (1) Withholding of income taxes by the County:
- (2) Industrial insurance coverage provided by the County;
- (3) Participation in group insurance plans which may be available to employees of the County;
- (4) Participation or contributions by either the independent contractor or the County to the public employee's retirement system;
- (5) Accumulation of vacation leave or sick leave;
- (6) Unemployment compensation coverage provided by the County if the requirements of NRS 612.085 for independent contractors are met.
- 3. INDUSTRIAL INSURANCE. Contractor further agrees, as a precondition to the performance of any work under this contract and as a precondition to any obligation of the County to make

any payment under this contract, to provide the County with a work certificate issued by a qualified insurer in accordance with NRS 616B.627. Contractor agrees, prior to commencing any work under the contract, to complete and to provide the following written request to the qualified insurer:

Joseph E. McEllistrem, PHD. has entered into a contract with Douglas County to perform work from <u>July 1, 2018</u> to <u>June 30, 2020</u> and requests that an industrial insurance provider qualified and licensed to offer such insurance within Nevada, provide to Douglas County:

- 1) A certificate of coverage issued pursuant to NRS 616B.627.
- 2) Notice of any lapse in coverage or nonpayment of coverage that the contractor is required to maintain.

The certificate and notice should be mailed to:

Douglas County Manager Post Office Box 218 Minden, Nevada 89423

Contractor agrees to maintain required workers compensation coverage throughout the entire term of the contract. If contractor does not maintain coverage throughout the entire term of the contract, contractor agrees that County may, at any time the coverage is not maintained by contractor, order the contractor to stop work, suspend the contract, or terminate the contract.

- 4. SERVICES TO BE PERFORMED. The Contractor will provide mental health services to all inmates incarcerated in Douglas County Detention Facilities as more specifically outlined in Attachment A, and as required by the National Commission on Correctional Health Care (NCCHC) and the Sheriff Office Jail Division Manual. Contractor will oversee the functions of the Jail Inmate Psychological Services according to the (NCCHC) requirements for accreditation as currently published or as may be amended.
- 5. PAYMENT FOR SERVICES. During the effective date of this contract, Contractor agrees to provide the services set forth in Paragraph 4 at a cost of \$46,796.40 annually payable at \$3,899.70 per month, provided that Contractor renders all services as detailed in Attachment A. Douglas County will make said monthly payments to Contractor upon receipt of an invoice from Contractor. Contractor will not be compensated for any hours the contractor is not actually rendering services for the benefit of the County.
- 6. TERMINATION OF CONTRACT. This contract may be revoked without cause by either party prior to the contract term end date set forth in paragraph (1), provided that a revocation shall not be effective until 90 days after a party has served written notice upon the other party. The Contractor shall submit invoices for work performed up to the effective date of termination on a pro rata basis.

- 7. MEDICAL LICENSE AND MALPRACTICE INSURANCE. Contractor agrees to maintain his professional license in active status and good standing for the State of Nevada during the term of this Contract. Failure to maintain this license will result in immediate termination of this contract. Any complaints filed against the Contractor arising out of his duties as a doctor must be reported to the County within ten days of being filed with an appropriate medical licensing board. Contractor also agrees to acquire and maintain medical malpractice insurance in the minimum amount of \$1,000,000 during the term of this contract. Copies of both the license and certificate of professional liability insurance must be sent to the Douglas County Manager and the Douglas County Sheriff's Office.
- 8. Construction of Contract. This contract shall be construed and interpreted according to the laws of the State of Nevada. Any dispute regarding this Contract shall be resolved by binding arbitration, with an arbitrator selected from a list maintained by the Nevada Supreme Court of senior/retired judges, with both parties to pay their own attorney fees, and both parties to split equally the cost of the arbitrator. If the parties cannot agree on an arbitrator, then the party defending the controversy shall make the ultimate decision on the arbitrator. There shall be no presumption for or against the drafter in interpreting or enforcing this Contract.
- 9. APPLICABLE LAWS AND HIPAA REQUIREMENTS. Contractor shall fully and completely comply with all applicable local, state and federal laws, regulations, orders, or requirements of any sort in carrying out the obligations of this contract, including, but not limited to, all federal, state, and local accounting procedures and requirements, all immigration and naturalization laws, and the Health Information Portability and Accountability Act. (HIPAA) as further detailed in Attachment B.
- 10. ASSIGNMENT. Contractor shall neither assign nor delegate any rights, obligations or duties under this contract without the prior written consent of the County.
- 11. COUNTY INSPECTION. The books, records, documents and accounting procedures and practices of Contractor related to this contract shall be subject to inspection, examination and audit by the County.
- 12. DISPOSITION OF CONTRACT MATERIALS. Any books, reports, studies, photographs, negatives or other documents, data, or other materials prepared by or supplied to Contractor in the performance of its obligations under this contract shall be the exclusive property of the County and all such materials shall be remitted and delivered, at Contractor's expense, by Contractor to the County upon completion of the project, or termination or cancellation of this contract
- 13. PUBLIC RECORDS LAW. Contractor expressly agrees that all documents submitted, filed, or deposited with the County by Contractor, unless designated as confidential by a specific statue of the State of Nevada, shall be treated as public records pursuant to NRS Ch. 239 and

shall be available for inspection and copying by any person or any governmental entity in compliance with NRS 239.0107.

- 14. INDEMNIFICATION. Contractor agrees to indemnify, defend, save and hold the County, its agents and employees harmless from any and all claims, causes of action or liability arising from the performance of this contract by Contractor or Contractor's agents or employees. Notwithstanding the obligation of Contractor to defend County as set forth in this paragraph, County may elect to participate in the defense of any claim brought against County because of the conduct of Contractor, its officers, employees and agents. Such participation shall be at County's own expense and County shall be responsible for the payment of its own attorney's fees it incurs in participating in its own defense.
- 15. MODIFICATION OF CONTRACT. This contract constitutes the entire contract between the parties and may only be modified by a written amendment signed by the parties and approved by the Board of County Commissioners.

ATTACHMENT A

CONTRACTOR SHALL:

- 1. Attend to the psychological needs of inmates as identified by contractor's personal observation or interview of inmates, or by recommendation of DCSO staff.
- 2. Assure compliance with National Commission on Correctional Health Care (NCCHC) guidelines regarding Behavioral Health Services in a custodial setting.
- 3. Oversee and be responsible for the procurement and distribution of psychotropic medications used in the Jail Facilities.
- 4. Arrange or assist in arranging voluntary and involuntary behavioral health commitments or specialist consultations as needed by inmates.
- 5. Provide for 24 hour seven days-a-week behavioral health emergency notification protocol for Jail Staff to be used for actively suicidal inmates.
- 6. Evaluate and approve Behavioral Health protocols in Medical Section of the Jail Division Manual.
- 7. Keep and record that information necessary to insure the proper care of inmates, provide legal documentation of same, and comply with all essential standards set forth by NCCHC guidelines.
- 8. Provide the Jail Administration with reports, records and documentation required by NCCHC guidelines and the Douglas County Sheriff's Office.
- 9. Attend Quarterly meetings with Jail Medical Staff.
- 10. Participate in NCCHC administered Jail inspections and evaluations for continued accreditation.

By: Contractor Dr. Joseph E. McEllistrem	(Date)
	(=)

ATTACHMENT B

HEALTH INFORMATION PORTABILITY AND ACCOUTABILITY ACT

1. DEFINITIONS.

- 1.1 Contractor shall mean Joseph E. McEllistrm Ph.D.
- 1.2 Covered Entity shall mean Douglas County.
- 1.3 Individual shall have the same meaning as the term "individual" in 45 CFR 164.501 and shall include a person who qualifies as a personal representative in accordance with 45 CFR 164.502(g).
- 1.4 Privacy Rule shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR part 160 and part 164, subparts A and E.
- 1.5 Protected Health Information shall have the same meaning as the term "protected health information" in 45 CFR 164.501, limited to the information created or received by Contractor from or on behalf of Covered Entity.
- 1.6 Required by Law shall have the same meaning as the term "required by law" in 45 CFR 164.501.
- 1.7 Secretary shall mean the Secretary of the Department of Health and Human Services or his designee.
- 1.8 Service Agreement or Agreement shall mean that certain agreement between Contractor and Covered Entity.

All other capitalized terms not defined herein shall have the meanings assigned in the Privacy Rule.

2. OBLIGATIONS AND ACTIVITIES OF CONTRACTOR.

- 2.1 Contractor agrees to not use or further disclose Protected Health Information other than as permitted or required by the Agreement or as Required by Law.
- 2.2 Contractor agrees to use appropriate safeguards to prevent use or disclosure of the Protected Health Information other than as provided for by this Agreement.
- 2.3 Contractor agrees to mitigate, to the extent practicable, any harmful effect that is known to Contractor of a use of or disclosure of Protected Health Information by Contractor in violation of the requirement of this Agreement.
- 2.4 Contractor agrees to report to Covered Entity any use of or disclosure of the Protected Health Information not provided for by this Agreement of which it becomes aware.
- 2.5 Contractor agrees to report to ensure that any agent, including a subcontractor, to whom it provides Protected Health Information received from, or created or received by Contractor on behalf of Covered Entity agrees to the same restrictions and conditions that apply through this Agreement to Contractor with respect to such information.
- 2.6 Contractor agrees to provide access, at the request of Covered Entity, and in the time and manner designated by Covered Entity, to Protected Health Information in

- a Designated Record Set, to Covered Entity or, as directed by Covered Entity, to an Individual in order to meet the requirements under 45 CFR 164.524.
- 2.7 Contractor agrees to make any amendment(s) to Protected Health Information in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 CFR 164.526 at the request of Covered Entity or an Individual, and in the time and manner designated by Covered Entity.
- 2.8 Contractor agrees to make internal practices, books, and records relating to the use and disclosure of Protected Health Information received from, or created or received by Contractor on behalf of, Covered Entity available to the Covered Entity, or at the request of the Covered Entity to the Secretary, in a time and manner designated by the Covered Entity or the Secretary, for purposes of the Secretary determining Covered Entity's compliance with the Privacy Rule.
- 2.9 Contractor agrees to document and such disclosures of Protected Health Information and information related to such disclosures as would be required for Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with CFR 164.528.
- 2.10 Contractor agrees to provide to Covered Entity or an Individual, in time and manner designated by Covered Entity, information collected in accordance with Section 2.9 of this Agreement, to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR 164.528.

3. PERMITTED USES AND DISCLOSURES BY CONTRACTOR.

- 3.1 General Use and Disclosure Provisions: Except as otherwise limited in this Agreement, Contractor may use of disclose Protected Health Information on behalf of, or to provide services to, a Covered Entity for the following purposes, if such use or disclosure of Protected Health Information would not violate the Privacy Rule if done by Covered Entity, or violate the minimum necessary policies and procedures of Covered Entity, for the purpose of performing the Service Agreement.
- 3.2 Specific Use and Disclosure Provisions:
 - 3.2.1 Except as otherwise limited in the Agreement, Contractor may use Protected Health Information for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Contractor.
 - 3.2.2 Except as otherwise limited in this Agreement, Contractor may disclose Protected Health Information for the proper management and administration of the Contractor, provided that disclosures are required by law, or Contractor obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as required by law or the purpose for which it was disclosed to the person, and the person notifies the Contractor of any instances of which it is aware in which the confidentiality of the information has been breached.

- 3.2.3 Except as otherwise limited in this Agreement, Contractor may use Protected Health Information to provide Data Aggregation services to Covered Entity as permitted by 42 CFR 164.504(e)(2)(i)(B).
- 3.2.4 Contractor may use Protected Health Information to report violations of law to appropriate federal and State authorities, consistent with 164.502(j)(1).

4. OBLIGATIONS OF COVERED ENTITY.

- 4.1 Covered Entity shall notify Contractor of any limitation(s) in its notice of privacy practices to the extent that such limitation may effect Contractor's use or disclosure of Protected Health Information.
- 4.2 Covered Entity shall provide Contractor with any changes in, or revocation of, permission by Individual to use or disclose Protected Health Information, if such changes effect Contractor's permitted or required uses and disclosures.
- 4.3 Covered Entity shall notify Contractor of any restriction to the use or disclosure of Protected Health Information that Covered Entity has agreed to in accordance with 45 CFR 164.522, to the extent that the same may effect Contractor's use or disclosure of Protected Health Information.
- 4.4 Permissible Requests by Covered Entity: Covered Entity shall not request Contractor to use of disclose Protected Health Information in any manner that would not be permissible under the Privacy Rule if done by Covered Entity (unless permitted for a Contractor under the Rule for the data aggregation or the management and administrative activities of Contractor.)

5. TERM AND TERMINATION.

- 5.1 Term. The Term of this Agreement shall be effective as of the date first written above, and shall terminate when all of the Protected Health Information provided by Covered Entity to Contractor, or created or received by Contractor on behalf of Covered Entity, is destroyed or returned to Covered Entity, or, if it is infeasible to return or destroy Protected Health Information, protections are extended to such information, in accordance with the termination provisions in this Section.

 Termination of this Agreement shall automatically terminate the Service Agreement.
- Termination for Cause. Upon Covered Entity's knowledge of a material breach by Contractor, Covered Entity shall provide an opportunity for Contractor to cure the breach or end the violation, and Covered Entity shall:
 - 5.2.1. Provide an opportunity for Contractor to cure the breach or end the violation, and Covered Entity, or
 - 5.2.2 Immediately terminate this Agreement if Contractor as breached a material term of this Agreement and cure is not possible.
 - 5.2.3 If neither termination nor cure are feasible, Covered Entity will report the violation to the Secretary.

- 5.3 Effect of Termination.
 - 5.3.1 Except as provided in the following paragraph, upon termination of this Agreement, for any reason, Contractor shall return or destroy all Protected Health Information received from Covered Entity, or created or received by Contractor on behalf of Covered Entity. This provision shall apply to Protected Health Information that is in the possession of subcontractors or agents of Contractor. Contractor shall retain no copies of the Protected Health Information.
 - In the event that Contractor determines that returning or destroying the Protected Health Information is infeasible, Contractor shall provide to Covered Entity notification of the conditions that make return or destruction infeasible. Upon mutual agreement of the Parties that return or destruction of Protected Health Information is infeasible, Contractor shall extend the protections of this Agreement to such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as Contractor maintains such Protected Health Information.

6. MISCELLANEOUS.

- Regulatory References. A reference in this Agreement to a section in the Privacy means the section as in effect or as amended, and for which compliance is required.
- Amendment. The Parties agree to take such action as is necessary to amend this Agreement from time to time as is necessary for Covered Entity to comply with the requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act, Public Law 104-191.
- 6.3 Survival. The respective rights and obligations of Contractor under Section 5.3 of this Agreement shall survive the termination of this Agreement.
- 6.4 Interpretation. Any ambiguity in this Agreement shall be resolved in favor of a meaning that permits Covered Entity to comply with the Privacy Rule.

By: Contractor Dr. Joseph E. McEllistrem

Douglas County

State of Nevada

CERTIFIED COPY

I certify that the document to which this certificate is attached is a full and correct copy of the original record on file in the Clerk-Treasurer's Office on this